
(12) UK Patent Application (19) GB (11) 2 327 831 (13) A

(43) Date of A Publication 03.02.1999

(21) Application No 9715411.6	(51) INT CL ⁶ H04L 9/32
(22) Date of Filing 23.07.1997	
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(54) Abstract Title
Hashing message or document

(57) A document or message is protected against forgery or repudiation by processing a selected part or parts of the text of the document or message to form a hash, usually of fewer characters than the selected part or parts of the text. The processing comprises retrieving numerical values which define the respective characters of the selected part or parts of the text and making a calculation using the numerical values of the successive characters. Preferably the hash is added to the text.

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At least one drawing originally filed was informal and the print reproduced here is taken from a later filed formal copy.

The claims were filed later than the filing date within the period prescribed by Rule 25(1) of the Patents Rules 1995

1/2

Document.....
initial variables....

2 4 8 16 32 64 128 256 512 1024

Document.....

I shall assume that the following is agreeable unless I hear otherwise:

~~~~~ ■

1\*\*\*Royalties•shall•be•paid•at•2•1/2%.■

~~~~~

Yours sincerely,

Calculation of OLM within section sealed by tilde marks.....

A	y	b	q	p	p	n	p	z	m	p
■	1	13	0	4	17	1	238	50	25583	238
1	2	49	238	8	295	2	15045	40	26927	15045
•	3	32	15045	16	15093	3	528255	37	27299	9574
•	4	32	9574	32	9638	4	346968	17	29759	19619
•	5	32	19619	64	19715	5	729455	41	26903	3074
R	6	82	3074	128	3284	6	288992	37	27299	16002
O	7	111	16002	256	16369	7	1931542	51	25343	5474
Y	8	121	5474	512	6107	8	787803	50	25583	20313
z	9	97	20313	1024	21434	9	2272004	60	23879	3499
l	0	108	3499	2	3609	10	425862	58	24083	16451
t	1	116	16451	238	16805	11	2134235	39	27107	19889
i	2	105	19889	15045	35039	12	4099563	51	25343	19340
e	3	101	19340	9574	29015	13	3307710	9	31139	6976
s	4	115	6976	19619	26710	14	3445590	48	25799	14323
•	5	32	14323	3074	17429	15	819163	11	30539	25149
s	6	115	25149	16002	41266	16	5405846	37	27299	644
h	7	104	644	5474	6222	17	752862	40	26927	25833
a	8	97	25833	20313	46243	18	5317945	5	31607	7969
l	9	108	7969	3499	11576	19	1470152	61	23819	17193
l	0	108	17193	16451	33752	20	4320256	26	28643	23806
•	1	32	23806	19889	43727	21	2317531	26	28643	26091
b	2	98	26091	19340	45529	22	5463480	48	25799	19891
e	3	101	19891	6976	26968	23	3344032	12	30467	23129
•	4	32	23129	14323	37484	24	2099104	42	26627	22198
p	5	112	22198	25149	47459	25	6501883	22	29339	17964
a	6	97	17964	644	18705	26	2300715	14	30323	26490
i	7	105	26490	25833	52428	27	6920496	1	32507	29012
d	8	100	29012	7969	37081	28	4746368	23	29243	9002
•	9	32	9002	17193	26227	29	1599847	46	25919	18788
a	0	97	18788	23806	42691	30	5421757	62	23663	2930
t	1	116	2930	26091	29137	31	4283139	32	28019	24251
•	2	32	24251	19891	44174	32	2827136	16	29879	18510
2	3	50	18510	23129	41689	33	3460187	4	31847	20711
•	4	32	20711	22198	42941	34	2834106	26	28643	27092
1	5	49	27092	17964	45105	35	3788820	23	29243	16473
/	6	47	16473	26490	43010	36	3569830	25	28979	5413
2	7	50	5413	29012	34475	37	2999325	37	27299	23734
•	8	37	23734	9002	32773	38	2457975	60	23879	22317
•	9	46	22317	18788	41151	39	3497835	63	23627	1039
■	0	13	1039	2930	3982	40	211046	61	23819	20494

	1	13	20494	24251	44758	41	2416932	7	31547	19360
1	2	49	19360	18510	37919	42	3450629	58	24083	6760
•	3	32	6760	20711	27503	43	2062725	38	27239	19800
•	4	32	19800	27092	46924	44	3566224	27	28607	18956
•	5	32	18956	16473	35461	45	2730497	48	25799	21602
R	6	82	21602	5413	27097	46	3468416	29	28319	13498
o	7	111	13498	23734	37343	47	5900194	31	28163	14127
y	8	121	14127	22317	36565	48	6179485	57	24203	7720
a	9	97	7720	1039	8856	49	1292976	41	26903	1632
l	0	108	1632	20494	22234	50	3512972	37	27299	18700
t	1	116	18700	19360	38176	51	6375392	30	28307	6317
i	2	105	6317	6760	13182	52	2069574	47	25847	1814
e	3	101	1814	19800	21715	53	3344110	47	25847	9847
s	4	115	9847	18956	28918	54	4887142	45	26003	24581
•	5	32	24581	21602	46215	55	4020705	61	23819	19113
s	6	115	19113	13498	32726	56	5596146	1	32507	4942
h	7	104	4942	14127	19173	57	3086853	46	25919	2492
a	8	97	2492	7720	10309	58	1597895	2	32183	20928
l	9	108	20928	1632	22668	59	3785556	62	23663	23139
l	0	108	23139	18700	41947	60	7047096	60	23879	2791
•	1	32	2791	6317	9140	61	850020	5	31607	28238
b	2	98	28238	1814	30150	62	4824000	33	27827	9929
e	3	101	9929	9847	19877	63	3259828	58	24083	8623
•	4	32	8623	24581	33236	64	3190656	60	23879	14749
P	5	112	14749	19113	33974	65	6013398	19	29567	11297
a	6	97	11297	4942	16336	66	2662768	13	30347	22579
i	7	105	22579	2492	25176	67	4330272	16	29879	27696
d	8	100	27696	20928	48724	68	8185632	63	23627	10690
•	9	32	10690	23139	33861	69	3419961	14	30323	23785
a	0	97	23785	2791	26673	70	4454391	61	23819	238
t	1	116	238	28238	28592	71	5346704	8	31259	1415
•	2	32	1415	9929	11376	72	1183104	52	25307	18982
2	3	50	18982	8623	27655	73	3401565	56	24239	8105
•	4	32	8105	14749	22886	74	2425916	24	29123	8707
1	5	49	8707	11297	20053	75	2486572	40	26927	9288
/	6	47	9288	22579	31914	76	3925422	62	23663	21027
2	7	50	21027	27696	48773	77	6194171	59	24023	20260
%	8	37	20260	10690	30987	78	3563505	26	28643	11773
•	9	46	11773	23785	35604	79	4450500	51	25343	15475
■	0	13	15475	238	15726	80	1462518	21	29399	21967

OLH: 2 - 20 -67158205078827607375

Document or message security arrangements

The present invention relates to arrangements for the protection of documents against forgery or repudiation. The invention also relates to arrangements for the protection of electronically transmitted messages against forgery or
5 repudiation.

It is common nowadays to provide security to documents through the use of holograms, watermarks, personal signature, notary stamps and other physical means: these all increase the difficulty for making unauthorised imitations or changes; 10 however, they all require physical inspection, often involving forensic equipment and expertise, in order to detect a counterfeit. It is also becoming increasingly necessary to provide security for electronically transmitted messages.

The present invention provides for the security of the
15 text of a document or message by cryptographic techniques.

In accordance with the present invention, there is provided an apparatus which is arranged to process a selected part or selected parts of the text of a document or message to form a hash, the hash usually being of fewer characters than
20 the selected part or parts of the text, the processing comprising retrieving numerical values which define the respective characters of the selected part or parts of the text and making a calculation using the numerical values of the successive characters.

25 The apparatus may be arranged to receive or create a text in electronic form, then process this text to derive the hash of the selected part or parts of the text. The apparatus may further be arranged to add the hash to the text: typically, the apparatus then outputs the text, with the added
30 hash, either for printing as a document or for electronic transmission. Alternatively the apparatus may be arranged to output the text and the hash separately (or store one and output the other).

The practical value of the hash is that it is sensitive
35 to any change or alteration in the selected part of the text

from which it is derived: it is not feasible to make a desired alteration to that part of the text whilst preserving the same hash value.

The hash thus forms a cryptographic signature which
5 makes forgery detectable on the basis of an assessment of the content of the text and without the need for any forensic examination of the document.

The hash algorithm is not applied to the whole text, only to a selected part, or to selected parts. The or each
10 part is identified, or sealed, by predetermined characters or combinations or characters immediately preceding and immediately following it: for example, a series of tilde marks (~) may be used.

Preferably the numerical values of the respective
15 characters of the selected text are their ASCII values: the characters preferably include all keystrokes (including space, return etc.); preferably the "alphabet" is restricted to all keystrokes having ASCII values in the range 32 to 125 inclusive and also including ASCII values for the "return".

20 Preferably the processing is recursive, in that the calculation in respect of each character uses the result of the calculation made in respect of at least one previous character.

Preferably the calculations for the first several (e.g.
10) characters use successive ones of a set of initial
25 variables: preferably the calculations for each subsequent character uses, instead of an initial variable, the result of the calculation in respect of a previous character.

Preferably each calculation also uses one of a predetermined set of prime numbers. Preferably each
30 calculation uses an interim result to determine which of these prime numbers is used to complete the calculation.

Preferably the processing involves at least a second pass over the selected part or parts of the text: in other words, once the calculation for the last character is
35 completed, a second series of successive calculations is carried out on the characters, typically starting with the first character, and using the results of the calculations of the first series.

At the end of the above-described processing, the hash

is formed by taking selected digits from the results obtained in a final plurality of the calculations: for example the final two digits may be taken from each of the final 10 results, and a 20-digit hash formed by placing these 10 pairs 5 of digits in a given order.

One form of hash algorithm used in the invention is an Objective Linguistic Hash (OLH). This is linguistic in that it "reads" letters, numbers and other keys commonly used in the preparation of documents. It is objective in that the hash 10 value produced can be verified by anyone using the algorithm. The OLH algorithm produces a final number by acting recursively one character at a time throughout the length of the message.

The variability of the message far exceeds the variability of the final hash, so inevitably many different 15 messages would have the same hash value. However, it is unfeasible to make a meaningful change to the message whilst retaining the same hash number.

It will be appreciated that the invention may be incorporated in a word processing apparatus. In this use, a 20 document is created in electronic form on the apparatus, complete with the seal (e.g. series of tilde marks) at the beginning and end of the or each selected part of the text. A "sealing" command is then performed, whereupon the apparatus automatically processes the "sealed" part or parts of the text 25 to create the hash, which is stored with the text. Subsequently, the document can be altered or corrected as necessary, then "re-sealed", to process the sealed part or parts of the text again and create the hash afresh. Once the document is finalised, it can be printed out, complete with the 30 hash.

The above-mentioned OLH algorithm may be modified to provide a Subjective Linguistic Hash (SLH). This differs from the OLH in that it is made subjective by being "seeded" with secret information known only to an accredited authority: 35 thus, the processing of the selected or "sealed" part or parts of the text is carried out using secret initial variables. Preferably use is made of a seed, in the form of a very large secret number (typically having 50 to 200 digits) known as the Secret Primitive (SP). An algorithm is run, using the SP, to

produce the initial variables: preferably this algorithm also uses a number of items of open information, known as Open Primitives (OP's), contained in the document or message being protected. The SLH algorithm may produce a plain hash initially, then encrypt this using the SP as secret key: this preserves the secrecy of the plain hash.

A further algorithm which can be used in accordance with the invention is a Subjective Encrypted Hash (SEH) algorithm. This involves encrypting an OLH hash, using secret primitive values known only to a witnessing party, together with open primitive values such as date and time. In this case, the witnessing party uses an apparatus into which the OLH of a document or message is keyed, together with the open primitive values, and which encrypts the OLH using the SEH algorithm, to create the SEH hash which is preferably printed on the document, or on a label for application to the document. Preferably the apparatus stores the initial OLH and the final SEH, together with the open primitive values.

Embodiments of the present invention will now be described by way of examples only and with reference to an accompanying table, which is a worked Example to illustrate the use of an OLH algorithm.

The accompanying Example uses an OLH algorithm on a selected part of the text of a document, typically a word processed documents, namely the part between the two series of five tilde marks (~~~~). The hash algorithm uses a set of initial values or variables (IV's), in this example 2,4,8,16,32,64,128,256,512 and 1024: the algorithm additionally uses a set of 64 prime numbers (each of 5 digits) used as modulators and also three prime numbers, preferably 37,17 and 7, as will be shown below. The table shows the processing carried out to create a 20-digit hash. The following shows the manner in which the calculations proceed, taking for example the 16th row: it will be noted that the part of the message to be processed is set out character-by-character in the first column: the rows are numbered 0 to 9 cyclically (starting with 1) in the second column; the initial variables are used in turn in the 5th column, for the first 10 rows.

Thus, reading across the 16th row, we have:

	s	=	the input character
	6	=	the "y" value, i.e. the choice of recursive P(y)
5	115	=	the ASCII value of "s"
	25149	=	the value of the result on the preceding row, namely P(5) = 25149
	16002	=	the last value of P(y), i.e. P(6)
10	41266	=	115 + 25149 + 16002 (the sum of the values in the three preceding columns in the same row)
	16	=	the value of n, where n is the ordinal of the character in the text
	5405846	=	41266 x (115 + 16)
15	37	=	the value of z =(37x115+17x16+7x25149+30539) mod 64 (using the prime numbers 37,17,7)
	27299	=	the value of the 37th of the set of 64 5-digit prime numbers
20	644	=	5405846 mod 27299

It will be noted that the calculation on each row is recursive, in that it uses results produced on previous rows (see the 4th and 5th items in each row). Further, in the example shown, the algorithm makes a second pass over the sealed part of the text. Finally, the 20-digit OLH hash is produced by selecting the final two digits of the results (final column) of the final 10 rows, placed in the order of recursive p(y) = 0 to 9.

Any attempt to alter the sealed part of the text, whilst retaining the same hash value, would require subsequent alterations in all further recursive steps to the end of that text. This is inherently difficult, but made more so by continuing the recursion back to the beginning of the sealed text for the second pass: a third pass may additionally be made.

The above-described OLH algorithm may be modified to form a Subjective Linguistic Hash (SLH). The SLH differs from the OLH in that it is made subjective by being "seeded" with secret information known only to an accredited authority: the

initial values (IV's) are therefore secret. Preferably the seed is a very large secret number, typically with 50 to 200 digits, and known as the Secret Primitive (SP), known only to the issuing authority. The SLH algorithm "fuses" the SP with 5 open information, known as Open Primitives (OP's), contained in the document or message, to produce the initial variables (IV's). Preferably the algorithm produces a "plain hash" in the first instance, which is then doubly encrypted using the SP as secret key. This preserves the secrecy of the plain hash 10 and makes it mathematically unfeasible to work backwards through the document to discover the primitives.

A further algorithm which can be used is a Subjective Encrypted Hash (SEH). The SEH involves encrypting an OLH hash. The encryption incorporates secret primitive (SP) values known 15 only to a witnessing party, and open primitive (OP) values such as date and time or other non-repeating factors. Further, the encryption is one-way, because the OLH is also fused with the OP and SP values. Since the key is therefore part of the message, the crypt cannot be reversed by application of the 20 key. Every output value of a fixed OLH is therefore distinct, due to non-repeating elements in the OP's.

A number of possible applications of the invention will now be described by way of examples only.

A first application of the invention is for preventing 25 fraudulent alteration of a Vehicle Registration Document. It is well known that stolen or redundant Vehicle Registration Documents have a value in the process of "ringing", that is, altering the identity of a stolen car. To complete the fraud, a plausible Vehicle Registration Document is required. In a 30 first case, the ringer will have to make a forged alteration to the document, for example, to cover a re-spray in a different colour. In a second case, if the ringer can alter the identity of a car, exactly to match the Vehicle Registration Document, then the fraud is undetectable to an 35 unsuspecting buyer.

The present invention can prevent fraud in either case in the following way. When a vehicle is insured, the important fixed elements of the particular information concerning the vehicle and its keeper form the message parts of the hash

algorithm. The secret primitives are in the possession of the insurer of the vehicle.

Example of message parts are:

5 Owner, Keeper, Registration Number, Make,
Model, Colour, Chassis Number, Engine number.

These parts are impossible to alter in a fraudulent way, without knowledge of the corresponding altered value of the SLH. Thus an SLH hash marked on the Registration Document protects against the first case of fraud. To solve the problem
10 of the second case, OP's are added as follows:

Insurance Renewal Date, Mileage on last insurance, Stated value on last insurance.

These OP's have to be altered in the second case to give a vehicle a new false history. It is not possible for a
15 ringer to do this because the true history is protected by earlier SLH's.

The SP for a given Insurance Company or other authority would preferably be a very large number, typically of 50 to 200 digits. It is preferably that the insurance company produces
20 an updated SLH each year, using details of the vehicle and its keeper held or added to its stored record for that client, and including the vehicle mileage: the SLH may then be printed.

In a variation applicable to a vehicle registration document, the insurance company may produce an SLH each year,
25 using details of the vehicle and its keeper, including the vehicle mileage: the SLH is then printed on a sticker, together with open information of the vehicle (e.g. mileage, value of the vehicle) for the keeper to stick on the vehicle registration document. Each time the insurance is renewed, an
30 additional such sticker is created for the keeper to add to the registration document. It will be appreciated that the registration document will thus include, in respect of each renewal, a hash related to data printed in selected parts or fields of the document.

35 A second application of the invention is relevant to high value tickets, bought in advance where there is high risk of fraud. This form of fraud is rife for example in the sale of tickets for long-awaited pop concerts where the forged tickets are sold to young people in a social context where they

are likely to be susceptible. Nothing can prevent a buyer from purchasing a ticket where there is no ready means of verifying its data, but with a suitable warning this application of the invention exerts psychological pressure due to the uncertainty 5 that a ticket bought from an unofficial source will be valid on the day of the concert. A suitable warning might read as follows:

10 Warning: If you have bought
 this ticket from an
 unauthorised source, it may be
 a perfect forgery. Only
 genuine tickets will pass the
 electronic test at the
 turnstile. Do not run the risk
15 of being turned away.

Each event is given an SP which is available as an input to the software used at legitimate outlets. This SP is only released to points of entry to the concert immediately before the crowds start to appear. The point of entry has a 20 machine for reading the hash from the ticket: the hash may be printed on the ticket, at the time of issue, in both human-readable and machine-readable form. The OP is a combination of the date and time of sale, correct to the nearest second, and the name of the buyer. The SLH is also printed on the 25 ticket. Even if the fraudster prints a very recent time and date, it is mathematically unfeasible to calculate the appropriate SLH, so he has a hazardous task of persuading the buyer that he/she must attach no significance to the lapse of time. Further, the buyer who reads the warning on the reverse 30 side of the ticket is put under the psychological pressure of having to wait for the concert itself before knowing whether the ticket is valid.

A third application of the invention is relevant to National Identity Cards which display a photograph and personal 35 details of the legitimate owner. The invention provides for a massive SP (containing at least 400 figures) held in a tamper proof location. The printed matter of the card is classified either as message parts to be hashed or OP's. The SLH is printed on the face of the card as additional information.

This prevents alteration of a card or the printing of a false identity.

A fourth application of the invention is the use of a Trusted Third Party such as an accredited Notary Public to provide an SEH supplied with a pre-calculated OLH for a "sealed" part of a document. The document itself may either be sent in plain or in crypt. The function of the notary is to use the OLH to calculate the SEH. The document may be processed to provide it with a double header, the OLH and the SEH which incorporates a date/time stamp. In the event of a dispute both "versions" of the disputed text can be tested by an OLH, but only the valid OLH will have the proper SEH.

Claims

- 1) An apparatus which is arranged to process a selected part or parts of the text of a document or message to form a hash, the hash usually being of fewer characters than the selected part or parts of the text, the processing comprising retrieving numerical values which define the respective characters of the selected part or parts of the text and making a calculation using the numerical values of the successive characters.
- 10 2) An apparatus as claimed in claim 1, which is arranged to receive or create said text in electronic form, then process said text to derive said hash.
- 3) An apparatus as claimed in claim 2, arranged to add said hash to said text.
- 15 4) An apparatus as claimed in claim 3, arranged to output said text, with the added hash.
- 5) An apparatus as claimed in claim 2, arranged to output said text and its hash separately, or to store one and output the other.
- 20 6) An apparatus as claimed in any preceding claim, arranged for the or each said part of said text to be identified by predetermined characters or combinations of characters immediately preceding and following it.
- 7) An apparatus as claimed in claim 6, in which each said identifier comprises a series of tilde marks.
- 25 8) An apparatus as claimed in any preceding claim, in which said numerical values of the respective characters of the selected text are their ASCII values.
- 9) An apparatus as claimed in claim 8, in which an alphabet which includes all said characters is restricted to

all keystrokes having ASCII values in the range 32 to 125 inclusive.

10) An apparatus as claimed in any preceding claim, arranged so that said processing of said selected part or parts 5 of said text comprises recursive processing, in that the calculation in respect of each character uses the result of the calculation made in respect of at least one previous character.

11) An apparatus as claimed in claim 10, arranged so that the calculations made for a first plurality of characters use 10 successive ones of a set of initial variables.

12) An apparatus as claimed in claim 10 or 11, arranged so that each said calculation also uses one of a predetermined set of prime numbers.

13) An apparatus as claimed in claim 12, arranged such that 15 each said calculation uses an interim result to determine which of said prime numbers is used to continue the calculation.

14) An apparatus as claimed in any preceding claim, arranged so that said processing involves at least a second pass over the selected part or parts of said text.

20 15) An apparatus as claimed in any preceding claim, arranged so that at the end of said processing, the hash is formed by taking selected digits from the results obtained in a final plurality of said calculation.

16) An apparatus as claimed in any preceding claim, 25 arranged such that said hash is seeded with secret information.

17) An apparatus as claimed in claim 16, arranged such that said processing is carried out using secret initial variables.

18) An apparatus as claimed in any preceding claim, arranged to encrypt said hash.

- 19) An apparatus as claimed in claim 18, arranged to store said hash and the encrypted hash formed from it.
- 20) An article carrying information in the form of printed or electronic text and also carrying a hash formed from a selected part of parts of said text, the hash usually being of fewer characters than the selected part or parts of said text and formed by making a calculation using numerical values which define the respective characters of said selected part or parts of said text.
- 10 21) A process of forming a hash from a selected part of parts of the text of a document or message, the process comprising retrieving numerical values which define the respective characters of the selected part or parts of said text and making a calculation using the numerical values of the successive characters, said hash usually being of fewer characters than said selected part or parts of the text.



The
Patent
Office

13

Application No: GB 9715411.6
Claims searched: 1-21

Examiner: B.J.SPEAR
Date of search: 17 September 1998

Patents Act 1977
Search Report under Section 17

Databases searched:

UK Patent Office collections, including GB, EP, WO & US patent specifications, in:

UK Cl (Ed.P): H4P (PDCSA)

Int Cl (Ed.6): H04L 9/32

Other: Online:WPI, INSPEC

Documents considered to be relevant:

Category	Identity of document and relevant passage	Relevant to claims
XE	GB2315965A (Samsung) Whole document, eg Fig. 1 and p 5 l 17 to p 8 l 21	1-5,16-21 at least
X	EP0117281A2 (IBM) Whole document, eg Figs. 1,5 and p 4 ll 4-26 , p 7 ll 2-14, p 13 last para. to end of p 14, claim 1.	1-6, 8, 20, 21 at least.
X	WO92/03000A1 (Bell) Whole document, eg Figs. 3-5. and p 5 l 20 to p 8 l 6, p 11 l 12 to p 12 l 18.	1,2,16-21 at least
X	US5638446 (Bell) Whole document, eg Figs. 2,3 and col 4 l 41 to col. 6 l 20.	1-5,16-21 at least.
X	US5530757 (IBM) Whole document, eg Figs. 3-5 and col.4 l 35 to col.5 l 53	1-5,16-21 at least
X	US5465299 (Hitachi) Whole document, eg Figs.2A,3,5,6A,8,10 and col. 6 l 50 to col. 8 l 2, claim 1	1-5,16-21 at least
X	US4807182 (Advanced Software) Whole document, eg Fig. 1 and Col. 2 ll 23-63, Col. 4 l 46 -Col. 8 l 13	1-10,20,21 at least

X Document indicating lack of novelty or inventive step
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Patent
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Application No: GB 9715411.6
Claims searched: 1-21

Examiner: B.J.SPEAR
Date of search: 17 September 1998

Category	Identity of document and relevant passage	Relevant to claims
X	Internet Cryptography. R.E.Smith Pub. 1997 Addison Wesley Longman pp 122-126. ISBN 0-201-92480-3	1-5,18-21 at least

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